Infection with the SARS-CoV-2 Delta Variant is Associated with Higher Infectious Virus Loads 1 Compared to the Alpha Variant in both Unvaccinated and Vaccinated Individuals 2 3 4 Chun Huai Luo¹, C. Paul Morris^{1,2}, Jaiprasath Sachithanandham³, Adannaya Amadi¹, David Gaston¹, Maggie Li³, Nicholas J. Swanson³, Matthew Schwartz¹, Eili Y. Klein^{4,5*}, Andrew Pekosz^{3,4*}, Heba H. Mostafa^{1*} 5 6 7 8 ¹Johns Hopkins School of Medicine, Department of Pathology, Division of Medical Microbiology 9 ²National Institute of Allergy and Infectious Disease, National Institutes of Health 10 ³W. Harry Feinstone Department of Molecular Microbiology and Immunology, The Johns Hopkins Bloomberg 11 School of Public Health, Baltimore, Maryland, USA. 12 ⁴Department of Emergency Medicine, Johns Hopkins School of Medicine 13 ⁵Center for Disease Dynamics, Economics, and Policy, Washington DC 14 15 16 * Corresponding authors 17 hmostaf2@jhmi.edu Meyer B-121F, 600 N. Wolfe St, Baltimore, MD 21287 18 19 apekosz1@jhu.edu 20 615 North Wolfe Street, rm W2116, Baltimore, MD 21205 21 eklein@jhu.edu 22 5801 Smith Ave, Davis Suite 3220, Baltimore, MD 21209 23

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Abstract Background The emerging SARS-CoV-2 variant of concern (VOC) B.1.6.17.2 (Delta) quickly displaced the B.1.1.7 (Alpha) and is associated with increases in COVID-19 cases nationally. The Delta variant has been associated with greater transmissibility and higher viral RNA loads in both unvaccinated and fully vaccinated individuals. Data is lacking regarding the infectious virus load in Delta infected individuals and how that compares to individuals infected with other SARS-CoV-2 lineages. Methods Whole genome sequencing of 2,785 clinical isolates was used to characterize the prevalence of SARS-CoV-2 lineages circulating in the National Capital Region between January and July 2021. Clinical chart reviews were performed for the Delta, Alpha, and B.1.2 (a control predominant lineage prior to both VOCs) variants to evaluate disease severity and outcome and Cycle threshold values (Cts) were compared. The presence of infectious virus was determined using Vero-TMPRSS2 cells and anti-SARS-CoV-2 IgG levels were determined from upper respiratory specimen. An analysis of infection in unvaccinated and fully vaccinated populations was performed. Results The Delta variant displaced the Alpha variant to constitute 88.2% of the circulating lineages in the National Capital Region by July, 2021. The Delta variant associated with increased breakthrough infections in fully vaccinated individuals that were mostly symptomatic when compared to the Alpha breakthrough infections, though it is important to note there was a significantly longer period of time between vaccination and infection with Delta infections. The recovery of infectious virus on cell culture was significantly higher with the Delta variant compared to Alpha in both vaccinated and unvaccinated groups. The impact of vaccination on reducing the recovery of infectious virus from clinical samples was only observed with Alpha variant infections but was strongly associated with low localized SARS-CoV-2 IgG for both variants. A comparison of Ct values showed a significant decrease in the Delta compared to Alpha with no significant differences between unvaccinated and vaccinated groups.

Conclusions

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Our data indicate that the Delta variant is associated with increased infectious virus loads when compared to the 53 Alpha variant and decreased upper respiratory antiviral IgG levels. Measures to reduce transmission in addition to increasing vaccinations rates have to be implemented to reduce Delta variant spread. 55 **Funding** NIH/NIAID Center of Excellence in Influenza Research and Surveillance contract HHS N2772201400007C, Johns Hopkins University, Maryland department of health, Centers for Disease Control and Prevention contract 75D30121C11061. 62

Introduction

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SARS-CoV-2 genomic evolution triggered concerns for the emergence of variants that could be more transmissible, cause severe disease, or escape natural or vaccine induced protective immunity. Lineage B.1.1.7 (Alpha) was classified as a variant of concern (VOC) by the United States Center for Disease Control and Prevention (CDC) due to evidence of higher transmissibility and concern for more severe disease (1). The Alpha variant, which was first detected in Southeast England in September 2020 (2), became the predominant in new SARS-CoV-2 infections in the UK by December 2020, spread globally, and rapidly became the major lineage in the US by April 2021 (3, 4). Estimates from the UK suggested the Alpha variant was 50% more transmissible and had a 43-90% higher reproduction number compared to other SARS-CoV-2 lineages (5). Although early reports found no correlation between Alpha and increased severity of disease (5), other studies reported an association with higher mortality (6) and risk of hospitalization (7). The B.1.617.2 (Delta) variant displaced the Alpha in the United States after a nationwide decline in the total numbers of cases in June 2021 and became the most frequently sequenced lineage by July 2021 (8). The Delta variant was classified as a VOC by the WHO in May 2021 due to a notable increased transmissibility, even in the context of increasing percentages of fully vaccinated individuals in various communities. The Delta variant was associated with SARS-CoV-2 outbreaks and breakthrough infections in vaccinated individuals (9, 10), however, while vaccination is most effective against preventing severe disease, subsequent hospitalization, and death from the Delta variant, it appears to be less effective against infection and perhaps even transmission (11-13). Greater understanding of the reasons for increased transmissibility in both the Alpha and Delta variants is urgently needed to understand the potential for breakthrough infections and outbreaks in the Fall and Winter. One proposed hypothesis is that the variants are able to attain higher viral loads in the respiratory tract of infected individuals (5, 14). While some reports have found an association between the Alpha and Delta variants and higher viral loads in the upper respiratory tract (5, 6, 10), greater understanding is needed of the relative replicability of these variants. Quantifying these differences is particularly important in vaccinated individuals, where the Delta variant has been associated with comparable Ct values in vaccinated versus unvaccinated patients (15). Higher replicability could additionally be important in vaccinated individuals with waning immunity. A few studies have assessed the recovery of infectious virus and neutralizing antibodies (16, 17). In this study, we used a large cohort of samples

characterized by whole genome sequencing between January 2021 and July 2021 to compare the clinical characteristics, Ct values from upper respiratory specimens, recovery of infectious virus, and nasal SARS-CoV-2 IgG for Delta and Alpha variants.

Methods

Ethical considerations and Data availability

The research Johns Hopkins Medical Institutions Institutional Review Board-X (JHM IRB-X) is constituted to meet the requirements of the Privacy Rule at section 45 CFR 164.512(i)(1)(i)(B) and is authorized and qualified to serve as the Privacy Board for human subjects research applications conducted by Johns Hopkins University faculty members. JHM IRB-3 approved IRB00221396 entitled "Genomic evolution of viral pathogens: impact on clinical severity and molecular diagnosis". IRB review included the granting of a waiver of consent based on the following criteria: 1) the research involves no more than minimal risk to subjects; 2) the waiver will not adversely affect the rights and welfare of the subjects; 3) the research could not be practicably carried out without the waiver; and 4) the IRB will advise if it is appropriate for participants to be provided with additional pertinent information after participation. This study was also approved for the inclusion of children as 'research not involving greater than minimal risk'. The permission of parents/guardians is waived. Assent is waived for all children. JHM IRB-X determined that there is no requirement for continuing review or progress report for this application. Remnant nasopharyngeal or lateral mid-turbinate nasal (NMT) clinical swab specimens from patients who tested positive for SARS-CoV-2 after the standard of care testing were used.

Specimens and Patient Data

The clinical specimens used for sequencing were nasopharyngeal or lateral mid-turbinate nasal swabs after standard of care diagnostic or screening assays were performed during inpatient and outpatient encounters across the Johns Hopkins Medical System, which encompasses five acute care hospitals and more than 40 ambulatory care offices. In addition, specimens were obtained through standard of care screening and testing services performed by the health

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system at several long-term care facilities in the State of Maryland as well as through mobile outreach clinics in local neighborhoods. Molecular assays used for diagnosis include RealStar® SARS-CoV-2 RT-PCR (Altona Diagnostics), Xpert Xpress SARS-CoV-2/Flu/RSV (Cepheid), NeuMoDx SARS-CoV-2 (Qiagen), Cobas SARS-CoV-2 (Roche), ePlex Respiratory Pathogen Panel 2 (Roche), Aptima SARS-CoV-2 (Hologic), and Accula SARS-CoV-2 assays (ThermoFisher Scientific) (18-21). Molecular diagnosis of SARS-CoV-2 at Johns Hopkins Hospital laboratory began on March 11 2020 (22), and whole genome sequencing for identifying circulating SARS-CoV-2 variants started as early as March 2020 as well (22). Surveillance efforts for VOCs were increased at the end of October 2020 to monitor the evolution of SARS-CoV-2. Unique patients' specimens were used for this study. Ct value analyses Samples with available Ct values after clinical diagnosis was made with NeuMoDx SARS-CoV-2 (Qiagen) testing were included in this study (N gene), as were samples retested after initial diagnostic testing using the PerkinElmer® New Coronavirus Nucleic Acid Detection Kit (https://www.fda.gov/media/147547/download) to ensure comparable Ct values (N gene). **Amplicon based Sequencing** Specimens were extracted using the ChemagicTM 360 system (Perkin Elmer) following the manufacturer's protocol. 300 μL of sample was extracted and eluted in 60 μL elution buffer. Sequencing and data analysis were performed as previously described (4, 22). Cell culture Vero-TMPRSS2 cells were cultured and infected with aliquots of swab specimens as previously described for VeroE6 cells (23). The presence of SARS-CoV-2 was confirmed by reverse transcriptase PCR (qPCR). **ELISA** Undiluted respiratory samples were tested with the EUROIMMUN Anti-SARS-CoV-2 ELISA (IgG) following the package insert (https://www.fda.gov/media/137609/download). The assay detects antibodies to the S1 domain of the spike protein of SARS-CoV-2 with a cut-off < 0.8 for negative results and \geq 0.8 to < 1.1 as borderline. The 1.1 value was used as a cut off for respiratory specimen types.

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Clinical data analysis Clinical data were collected by retrospective review of electronic medical records. Events leading to hospitalization, requirement of ICU level care, and mortality were evaluated and only patients with these events clearly attributable to COVID-19 were included in these analyses. Vaccine breakthrough infections were based on the CDC definition of with positive test results more than 14 days post the second shot for pfizer/BioNTech BNT162b2 and Moderna mRNA-1273 or 14 days after the J&J/ Janssen shot. Statistical analysis Statistical analyses were conducted using GraphPad prism. Chi-square and Fisher Exact tests were used for categorical variable comparisons and t-test and Kruskal-Wallis one-way ANOVA tests were used for comparing continuous independent variables. Results SARS-CoV-2 Positivity and Variants trends. Between January 2021 and July 2021, a total of 209,905 samples were tested at the Johns Hopkins Hospital Laboratory with positivity rates that declined from 7.7% in January to 0.7% in June with a slower increase noted in July (Figure 1A). Of 2,785 genomes sequenced in this time frame, our data showed that the predominant circulating lineages (primarily B.1.2, clade 20G) were displaced by Alpha in late February (4) which was subsequently displaced by Delta at the end of June (Figure 1B). Other VOC and VOI were detected only infrequently during this time frame (Figure 1B). Patient characteristics and infection outcomes in Alpha and Delta infections. Clinical chart reviews were performed for all Delta (107), Alpha (1482), and B.1.2 (377) infected patients diagnosed at Johns Hopkins laboratory from January to July 2021. The Delta variant caused a significant increase in confirmed breakthrough infections when compared to the Alpha variant (28% vs 12.4%, p < 0.00001, Table 1). Not surprisingly, there was a significant increase in the median days after vaccination for the Delta variant breakthroughs compared to Alpha variant breakthroughs (136.3 vs 20.1, p < 0.00001, Table 1) which reflects the lack of Delta variants in our geographic region during the initial COVID-19 vaccine rollout. Delta variant infected patients were significantly different in race distribution when compared to the Alpha with a significant increase in infections in white race (p =

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0.005), however, African-American patients predominated infections with both variants. No differences in hospital admission or mortality were noted between the Delta and Alpha variants however, the Delta infected group had a lower prevalence of certain comorbidities including kidney disease and diabetes (Table 1). The Alpha variant was associated with a significant increase in symptomatic infections when compared to the precedent B.1.2 lineage (84.8% vs 78.8, p = 0.0015, Table 1). However, there was no similar increase from Alpha to Delta (p=0.472, Table 1). A reduction in the median age, reduction in the male to female ratio and an increase in infections in the self-identify as African-American were noted for the Alpha variant compared to the B.1.2 lineage (Table 1). When compared to the B.1.2, the Alpha variant showed a significant increase in COVID related hospitalization and ICU level care, but not mortality. When vaccine breakthrough infection cases were compared to the unvaccinated patients in the Alpha and Delta groups, no significant differences in the likelihood of COVID related hospital admissions were observed, however the Alpha and to a lesser extent the Delta vaccine breakthrough groups were characterized by significantly higher immunosuppression and other comorbidities (Table 2). Comorbidities including hypertension, kidney disease, heart failure, and coronary artery disease were associated with vaccine breakthrough infections with the Alpha, but not the Delta variants (Table 2). Vaccine breakthrough infections with the Delta variant were associated with a significantly higher percentage of symptomatic infections (93.3% vs 61%, p = 0.001), reduced median age (40.5 years vs 51 years, p = 0.035), and a marked increase in the median days after receiving the vaccine (152.7 days vs 77.3 days, p < 0.00001, Table 2). Delta and Alpha variants cycle threshold values (Ct) in upper respiratory samples. To determine if the Ct values in respiratory specimens were different between Alpha, Delta, and B.1.2 variants, we compared the Ct values available for each group (N: B.1.2 = 224, Alpha = 562, Delta = 98) and associated the Ct values to the days after the onset of symptoms for symptomatic patients (N: B.1.2 = 214, Alpha = 511, Delta = 75). The mean Ct value for the Delta and B.1.2 variant was significantly lower when compared to the Alpha variant (20.08 vs 19.62 vs 21.74, p <0.05; Figure 2A). Similar trends were noted when Ct values were associated with samples collected within 5 days or less from symptoms onset (mean Ct for Alpha 20.98 vs 19.33 for Delta vs 19.25 for B.1.2, Figure 2B). For samples collected more than 5 days from symptoms, mean Ct values of the Alpha was significantly higher than the B.1.2 (24.4 vs 21.13, p < 0.05, Figure 2C).

Mean Ct values were significantly lower in Delta versus Alpha variant vaccine breakthrough groups (22.42 vs 18.6, p < 0.05) (Figure 2D), but no significant differences were observed between vaccinated and unvaccinated Ct values within each lineage. However, Alpha variant breakthrough vaccinated individuals demonstrated a noticeable change in mean Ct values when associated with the course of the infection (mean 20.75 within the first 5 days vs 26.45 after 5 days), but a similar analysis was not possible for the Delta variant breakthrough infections due to the infrequent positives after 5 days of symptoms in our cohort (Figure 2E and F)

Recovery of infectious virus in Delta versus Alpha groups

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To assess the recovery of infectious virus from Delta versus Alpha variant infected groups, samples from a total of 141 Alpha (95 from unvaccinated and 46 from vaccine breakthrough infections) and 90 Delta (63 unvaccinated and 27 from vaccine breakthrough infections) were used to inoculate Vero-TMPRSS2 cells. Significantly more specimens with Delta variants had infectious virus present as compared to specimens containing Alpha variants (Delta 67.8%, Alpha 31.2%; Figure 3A, p < 0.00001) Specimens from the fully vaccinated Alpha group showed significant reduction in the recovery of infectious virus as compared to the unvaccinated Alpha group (17.4% vs 37.9%, p = 0.02, Figure 3A) which was not the case in the Delta groups which had nearly equivalent specimens with infectious virus (70.4% vs 66.7%, Figure 3A). A significant increase in the recovery of infectious virus from specimens of patients infected with the Delta variant as compared to the Alpha variant was noted for both unvaccinated (66.7% vs 37.9%, p = 0.0006) and fully vaccinated (70.4% vs 17.4%, p < 0.00001) groups (Figure 3A). The mean Ct value for specimens associated with infectious virus (CPE) in all groups was significantly lower than groups without infectious virus (CPE positive: Delta unvaccinated, 17.6, Delta vaccinated, 16.1, Alpha unvaccinated, 18.1, Alpha vaccinated, 17.8- CPE negative: Delta unvaccinated, 25.3, Delta vaccinated, 24.4, Alpha unvaccinated, 24.9, Alpha vaccinated, 24.1, p < 0.0001) but no differences in mean Cts were noted between Alpha and Delta vaccinated and unvaccinated groups in infectious virus positive or negative groups (Figure 3B). Notably, the majority of the cell culture positives in the Alpha group showed CPE on day 5 after infecting the cellular monolayer (31.8%) in contrast to day 4 for the Delta group (63.9%), even though a few specimens in the Alpha group showed CPE as early as day 2 (15.9%) (Figure 3C).

Localized SARS-CoV-2 IgG in the Delta versus the Alpha groups

To assess the relationship between upper respiratory tract SARS-CoV-2 IgG levels in fully vaccinated patients and the recovery of infectious virus on cell culture, ELISA was performed on upper respiratory samples from fully vaccinated individuals infected with Alpha (N = 43) or Delta (N = 24) variants as well as control unvaccinated but infected groups (Alpha, N = 30 and Delta, N = 17). A significant increase in localized IgG levels was observed in vaccinated versus unvaccinated individuals infected for the Alpha variant (Alpha unvaccinated, 0% positives, vaccinated 46.5% positives, p < 0.0001). More vaccinated individuals infected by the Delta variant showed detectable upper respiratory tract IgG but the mean IgG levels were not different between the groups (Delta unvaccinated 11.8% positives, vaccinated 37.5% positives) (Figure 4A). Vaccine breakthrough patients from both Alpha and Delta variants demonstrated an inverse correlation between upper respiratory tract IgG levels and the recovery of infectious virus on cell culture, regardless of Ct value (Figure 4 B and C).

Discussion

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Alpha and Delta variants have raised major concerns associated with their evident success in displacing circulating variants and reaching global predominance. In the US, the Alpha variant predominated between February and June followed by the Delta variant that has become the most common lineage as of July, 2021 (https://covid.cdc.gov/covid-data-tracker/#variant-proportions). Our surveillance data collected from a wide geographical region in Washington DC, Virginia, and Baltimore showed an increase in the Alpha variant with a significant shift to a predominance in the second half of March (4) and in spite of detecting the Beta variant at the end of January (24). The Delta variant, on the other hand, was able to displace the Alpha and has been raising concerns associated with a notable increase in symptomatic breakthrough infections after full vaccination (10, 15) and its increased transmissibility. The virological, biological, and geographical determinants of the success of certain variants and their impact on the pandemic are not yet clearly understood. Different hypotheses including viral genomic changes associated with enhanced host cell receptor binding (25), higher viral loads (26), or escape from the neutralizing antibodies (27), have been proposed, but consistent evidence is lacking. In this study, we analyzed a large cohort of samples diagnosed at Johns Hopkins clinical virology laboratory between January and July 2021 and compared the clinical presentations and disease outcomes in patients infected with the Delta versus the Alpha variants. Our data showed that the Alpha variant associated with increased hospitalization and ICU level care when compared to the previously predominant lineage, and infections with the

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Delta variant showed similar disease outcome to Alpha. It is of note though that our cohort infected with the Alpha variant was associated with higher comorbidities including diabetes and kidney disease compared to the Delta variant infections. As more Delta infected patients are identified in our laboratory and with more extended time for observing patients for outcomes, the clinical severity of the Delta variant will be better characterized. The main observation notable in our cohort was the association of the Delta with more breakthrough infections and the significant increase in the days since receiving the vaccine in these cases. Breakthrough infections with the Delta were also associated with higher viral loads and increased recovery of infectious virus on cell culture when compared to breakthrough infections with the Alpha variant. We previously showed that vaccination was associated with reduction of the recovery of infectious virus on cell culture in a cohort that was primarily infected with the Alpha variant between January and May 2021, and this was associated with higher upper respiratory tract IgG levels (28). One possible explanation for the increased vaccine breakthrough infections seen with Delta is waning immune responses in vaccinated individuals as a result of the extended time post vaccination. We assessed localized IgG levels in upper respiratory tracts in Delta and Alpha breakthrough infections. Interestingly, the recovery of infectious virus was mainly notable in samples with negative or low upper respiratory tract IgG levels and this was more prominent with the Delta group, a correlation that was independent of the relative viral loads in the specimens. Our data is consistent with a recent observation from Vietnam that associated Delta breakthrough infections with lower levels of neutralizing antibodies induced by vaccination and a study from Wisconsin that showed infectious virus recovery from vaccinated patients (16, 17). This observation has important implications for infection control and supports the July, 2021 CDC guidelines of universal masking that includes vaccinated individuals to reduce viral transmission (https://www.cdc.gov/coronavirus/2019-ncov/vaccines/fully-vaccinated.html). Our data also suggest that increasing the upper respiratory tract IgG – perhaps through booster vaccinations – could help reduce transmission and symptomatic infections. A major finding in our study is the increase in recovery of infectious virus for the Delta group in unvaccinated patients as well which did not correlate to differences in the relative viral loads in the samples. This is evidence of increased fitness of Delta perhaps driven by viral genetic determinants that enhance infectivity or replication. Changes within the spike protein of the Delta variant are thought to lead to enhanced binding to the host cell receptor (ACE2) and the S: P681R change in particular might increase the S protein cleavage efficiency allowing for more efficient entry (29). In addition, the S: L452R could contribute to the noticeable reduction in neutralization by serum antibodies and monoclonal antibodies (30-32). It is notable though that the Delta carries multiple other mutations within the spike and in other regions of the genome (Figure S1). Those changes might contribute to other mechanisms that could increase the virus stability, enhance its replication, or increase its ability to evade the immune responses as previously described for SARS-CoV (33). Additional studies are required to dissect the mechanistic impact of these changes and this work is currently in progress by our group. The limitations of our study include the lower numbers of Delta variant specimens due to the lower positivity in the month of July, 2021, the infrequent specimens collected after 5 days of symptoms onset for the Delta vaccine breakthrough infections, and the relatively shorter time frame of Delta circulation that limited the clinical evaluation of some of the hospitalized patients. In addition, the phenotypes with cell culture experiments are usually dependent on the cell lines used, however Vero-TMPRSS-2 cells have been shown to enhance the isolation of SARS-CoV-2 (34). Moreover, the lack of serum and localized SARS-CoV-2 IgG data prior to infection for vaccine breakthrough cases in our cohort does not allow for the differentiation between waning immune responses and low initial responses to vaccines. Taken together, we hypothesize that the notable increase in the time since receiving the vaccines combined with increased fitness of the Delta variant predisposes both vaccinated and unvaccinated individuals to symptomatic SARS-CoV-2 infections that are associated with high viral loads and transmission. Non-pharmaceutical interventions that include universal masking and social distancing to diminish transmission might be warranted to help control the summer 2021 Delta surge in the US. Booster vaccinations in groups at high risk of severe COVID-19 should be investigated to help reduce the burden of COVID-19 on the medical infrastructure.

Declaration of interests

We declare no relevant competing interests

Data sharing

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Whole genome data were made available publicly and raw genomic data requests could be directed to HHM.

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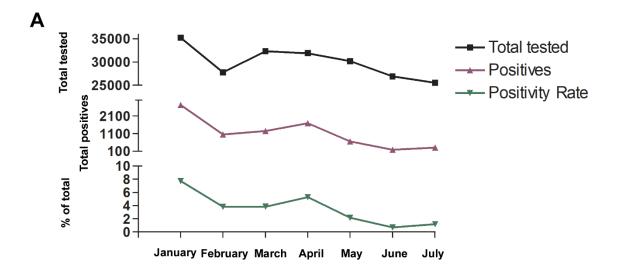
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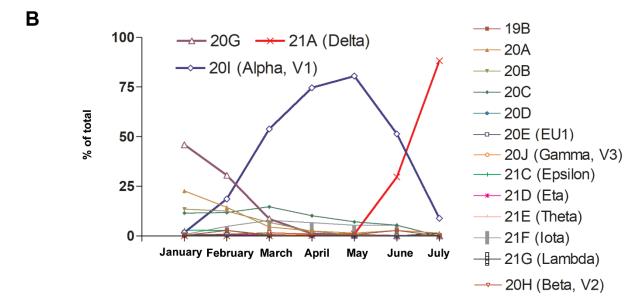


Figure 1. A) SARS-CoV-2 molecular testing at Johns Hopkins Laboratory showing total tested, total positives, and % positivity from January to July 2021 B) Circulating SARS-CoV-2 clades including VOC and VOI from January to July 2021.

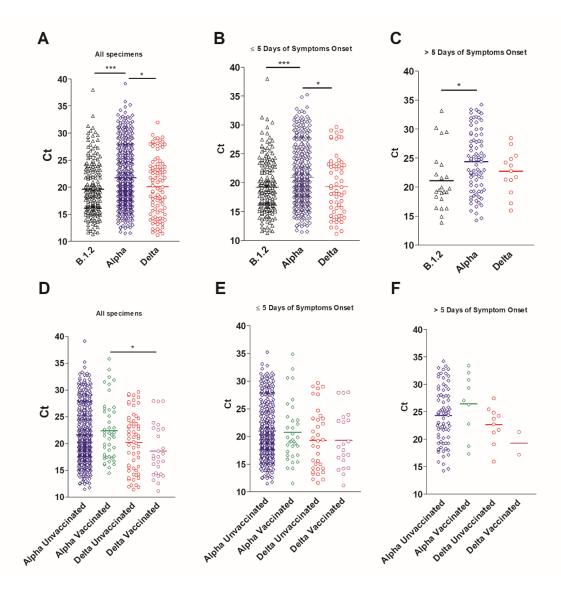


Figure 2. Cycle threshold (Ct) values of Alpha, Delta, and control variants. A) Ct values of B.1.2 (N = 254), Alpha (N = 562), and Delta (N = 98) variants from all samples with available Ct values. B and C) Correlation of Ct values and ranges of days after the onset of symptoms B) 0 to 5 days, C) >5 days. For this analysis, samples from asymptomatic patients were not included (N: B.1.2 = 214, Alpha = 511, Delta = 75). D) Ct values of Alpha and Delta variants broken down by vaccination status. Alpha (unvaccinated,

N=442, fully vaccinated N=42), Delta (unvaccinated, N=47, fully vaccinated N=23). For this analysis, samples from partially vaccinated patients were not included. E and F) Correlation of Ct values and ranges of days after the onset of symptoms of the Alpha and Delta variants divided by the vaccination status. E) 0 to 5 days, F) >5 days. One-way ANOVA * p < 0.05, ** p < 0.001, ***, p < 0.0001.

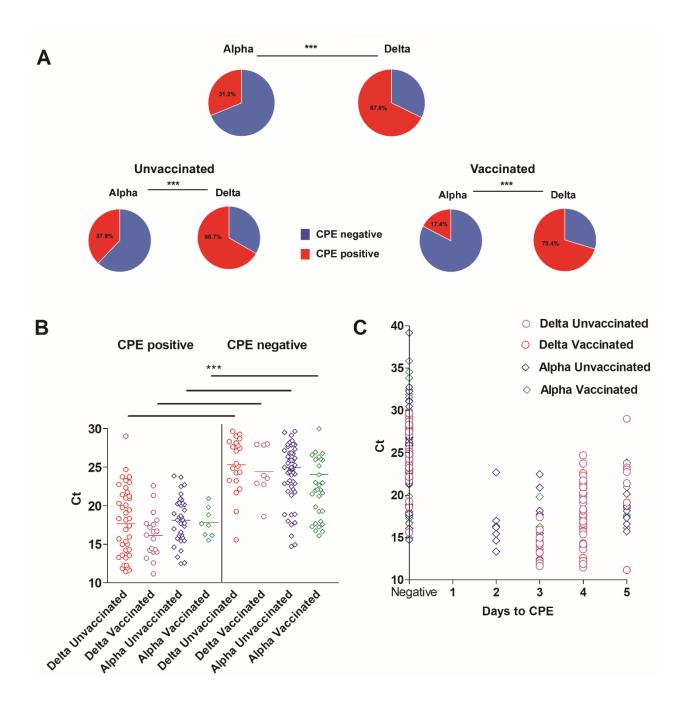


Figure 3. Recovery of infectious SARS-CoV-2 on Vero-TMPRSS2 cells for Alpha and Delta variants. A) Percent CPE positives and negatives for Alpha and Delta total, unvaccinated, and vaccinated groups (Alpha unvaccinated, N = 95, vaccinated N = 46, Delta unvaccinated, N = 63, vaccinated, N = 27). Chi-squared test *** p < 0.0001. B) Ct range of CPE positive and negative Alpha and Delta variants.

One-way ANOVA *** p < 0.0001 C) Correlation of Ct values and days to the first appearance of CPE (cytopathic effect) for the Alpha and Delta groups.

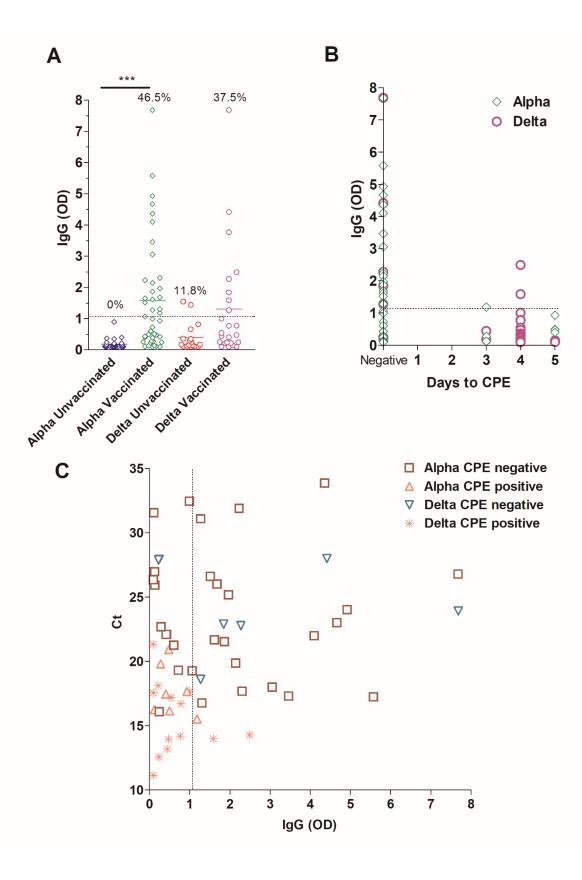


Figure 4. **Local SARS-CoV-2 IgG in upper respiratory samples.** A) IgG levels by ELISA in the upper respiratory samples collected from patients with Alpha or Delta variants (unvaccinated: Alpha, N = 30, Delta, N = 17, vaccinated: Alpha, N = 43, Delta, N = 24). One-way ANOVA *** p < 0.0001 B) SARS-CoV-2 IgG correlation to days to the first appearance of cytopathic effect (CPE) for Alpha and Delta true breakthrough specimens on Vero-TMPRSS2 cells. C) Correlation between local IgG levels, Ct values, and recovery of infectious virus. Dashed line demarcates the limit of borderline and negative ELISA results as specified per assay's package insert. Define the p value and state the statistical tests used.

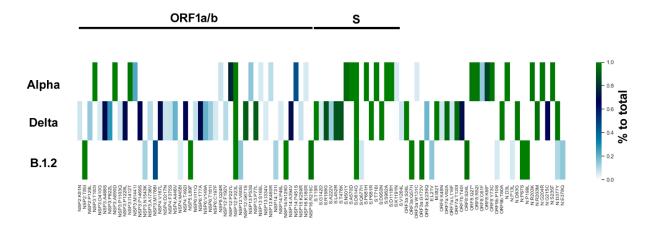


Figure S1. Common genomic amino acid changes in the Alpha versus Delta and B.1.2 variants. Included in the analysis are changes at more than 5% prevalence in sequenced samples for each variant.

	B.1.2	alpha	delta	P value: alpha to B.1.2	P value: delta to alpha	
Total	377	1482	107			
Asymptomatic (%)	80 (21.2)	211 (14.2)	12 (11.2)	0.0015	0.472	
Symptomatic (%)	297 (78.8)	1257 (84.8)	95 (88.8)	0.0010		
All positives after vaccination (%)	39 (10.3)	184 (12.4)	38 (35.5)			
Confirmed breakthrough (%)	2 (0.5)	59 (4)	30 (28)	0.0002	<0.00001	
Median days after first dose (All positives after vaccination)	9.4	20.1	136.3	4.1078E-09	2.22712E-09	
Median age (SD)	, ,	36 (21.3)	40 (21.1)	2.55131E-06	0.17	
Female (%)	140 (37.1)	865 (58.4)	66 (61.7)	<0.00001	0.5429	
Male (%)	237 (62.9)	616 (41.6)	41 (38.3)	0.0000		
Race						
Asian (%) Black (%)	24 (6.4) 108	34 (2.3) 860 (58)	9 (8.4)			
White (%)	(28.6) 209 (55.4)	416 (28.1)	42 (39.3)	<0.00001	0.0049	
Other/ uknown (%)	32 (8.5)	172 (11.6)	8 (7.5)			
Disease severity						
Mortality (%)	3 (0.8)	16 (1.1)	0	0.78	0.6196	
Admission (%)	28 (7.4)	165 (11.1)	16 (15)	0.0372	0.27	
ICU (%)	5 (1.3)	54 (3.6)	5 (4.5)	0.0204	0.59	
Comorbidities						
Hypertension (%)	128 (33.9)		29 (27.1)	0.2918	0.4482	
Respiratory Failure (%)	18 (4.8)	159 (10.7)	8 (7.5)	0.0002	0.3314	
Pregnancy (%)	24 (6.4)	118 (7.9)	5 (4.7)	0.3295	0.2637	
Lung Disease (%)	83 (22)	358 (24.2)	19 (17.8)	0.416	0.1576	
Kidney Disease (%)	40 (10.6)	204 (13.8)	6 (5.6)	0.1238	0.012	
Immunosuppression (%)	68 (18)	251 (16.9)	13 (12.1)	0.6462	0.2272	
Diabetes (%)	50 (13.3)	235 (15.9)	9 (8.4)	0.2301	0.0374	
Heart Failure (%)	17 (4.5)	120 (8.1)	4 (3.7)	0.0152	0.1332	
Atrial Fibrilation (%)	15 (3.9)	65 (4.4)	3 (2.8)	0.8869	0.6205	
Smoker (%)	34 (9)	212 (14.3)	6 (5.6)	0.0063	0.0085	
Cerebrovascular Disease (%)	26 (6.9)	104 (7)	5 (4.7)	1	0.4326	
Cancer (%)	125 (33.2)	318 (21.5)	19 (17.8)	<0.00001	0.3943	
Coronary Artery Disease (%)	55 (14.6)	236 (15.9)	10 (9.3)	0.5786	0.0723	

Table 1. Clinical and metadata of the B.1.2, Alpha, and Delta infected patients. Statistics for ages and median days after vaccination were calculated by t test and all other statistics were calculated by Chi-squared test.

		Delta					Alpha				
	All positives after vaccination	True breakthrough	Unvaccinated	P value: all positives after vaccination to unvaccinated	P value: true breakthrough to unvaccinated	All positives after vaccination	True breakthrough	Unvaccinated	P value: all positives after vaccination to unvaccinated	P value: true breakthrough to unvaccinated	P value/ alpha to delta true breakthrough
Total	38	30	69			184	59	1298			
Median days after 1st dose (SD)	152.7 (59.8)					77.3 (27.9)				3.511E-06
Symptomatic (%)	34 (89.5)	28 (93.3)	61 (88.4)			148 (80.4)	36 (61)	1109 (85.4)			
Asymptomatic (%)	4 (10.5)	2 (6.7)	8 (11.6)	1	0.72	36 (19.6)	23 (38.9)	175 (13.5)	0.0424	< 0.00001	0.0011
Median age	40.5	40.5	37	0.024	0.039	53	51	34	2.24559E-26	0.142357054	0.035
Females (%)	22 (57.9)	18 (60)	44 (63.8)			112 (60.9)	42 (71.2)	753 (58)			
Males (%)	16 (42.1)	12 (40)	25 (36.2)	0.67	0.82	72 (39.1)	17 (28.8)	544 (41.9)	0.52	0.06	0.34
Race											
Asian (%)	5 (13.2)	5 (16.7)	4 (5.8)			4 (2.2)	1 (1.7)	30 (2.3)			
Black (%)	11 (28.9)	6 (20)	35 (50.7)			73 (39.7)	13 (22)	787 (60.6)			
White (%)	20 (52.6)	18 (60)	22 (31.9)	0.026	0.0035	86 (46.7)	38 (64.4)	330 (25.4)	< 0.00001	< 0.00001	1
Other/ unknown (%)	2 (5.3)	1 (3.3)	8 (11.6)			21 (11.4)	7 (11.9)	151 (11.6)			
Disease severity								· · · · · ·			
Admitted (%)	4 (10.5)	3 (10)	12 (17.4)	0.4	0.54	26 (14.1)	4 (6.8)	139 (10.7)	0.17	0.51	0.11
ICU level care (%)	3 (7.9)	2 (6.7)	2 (2.9)	0.3445	0.58	8 (4.3)	2 (3.4)	46 (3.5)	0.53	1	0.6
Death (%)	0	Ò)O			4 (2.2)	O	12 (0.9)	0.13	1	
Comorbidities						•					
Hypertension (%)	13 (34.2)	10 (33.3)	16 (23.2)	0.26	0.33	91 (49.5)	26 (44.1)	369 (28.4)	< 0.00001	0.0125	0.36
Respiratory Failure (%)	5 (13.2)	4 (13.3)	3 (4.3)	0.129	0.19	24 (13)	7 (11.9)	135 (10.4)	0.307	0.66	1
Pregnancy (%)	2 (5.3)	2 (6.7)	3 (4.3)	1	0.64	13 (7.1)	7 (11.9)	105 (8.1)	0.77	0.33	0.7
Lung Disease (%)	6 (15.8)	4 (13.3)	13 (18.8)	0.79	0.77	47 (25.5)	14 (23.7)	311 (23.9)	0.65	1	0.28
Kidney Disease (%)	4 (10.5)	3 (10)	2 (2.9)	0.182	0.16	45 (24.5)	13 (22)	159 (12.2)	0	0.042	0.24
Immunosuppression (%)	9 (23.7)	6 (20)	4 (5.8)	0.011	0.06	53 (28.8)	15 (25.4)	198 (15.3)	0	0.044	0.79
Diabetes (%)	5 (13.2)	5 (16.7)	4 (5.8)	0.275	0.12	51 (27.7)	13 (22)	184 (14.2)	0	0.127	0.78
Heart Failure (%)	1 (2.6)	1 (3.3)	3 (4.3)	1	1	29 (15.8)	10 (16.9)	91 (7)	0.0002	0.0096	0.09
Atrial Fibrilation (%)	2 (5.3)	2 (6.7)	1 (1.4)	0.286	0.22	19 (10.3)	5 (8.5)	46 (3.5)	0.0002	0.07	1
Smoker (%)	3 (7.9)	2 (6.7)	3 (4.3)	0.66	0.63	25 (13.6)	5 (8.5)	187 (14.4)	0.8227	0.25	1
Cerebrovascular Disease (%)	2 (5.3)	1 (3.3)	3 (4.3)	1	1	26 (14.1)	5 (8.5)	78 (6)	0.0003	0.40	0.65
Cancer (%)	12 (31.6)	11 (36.7)	7 (10.1)	0.0081	0.0035	87 (47.3)	31 (52.5)	231 (17.8)	< 0.00001	< 0.00001	0.18
Coronary Artery Disease (%)	3 (7.9)	2 (6.7)	7 (10.1)	1	0.72	55 (29.9)	18 (30.5)	181 (13.9)	< 0.00001	0.002	0.014

Table 2. Clinical and metadata of Delta and Alpha vaccinated and unvaccinated patients. All positives after vaccination includes any patient who received vaccination prior to the positive test result. True breakthrough infections were based on the CDC definition to include positives more than 14 days after the second dose for pfizer/BioNTech BNT162b2 or Moderna mRNA-1273 or 14 days after the J&J/ Janssen shot. Statistics for ages and median days after vaccination were calculated by t test and all other statistics were calculated by Chisquared test.